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| APPLICATION NO.            | FILING DATE                         | FIRST NAMED INVENTOR             | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------|-------------------------------------|----------------------------------|---------------------|------------------|
| 10/511,214                 | 10/13/2004                          | Petrus Adrianus Josephus Holten  | NL 020348           | 2149             |
|                            | 7590 08/30/2007<br>LLECTUAL PROPERT | EXAMINER  CARTER, WILLIAM JOSEPH |                     |                  |
| P.O. BOX 300<br>BRIARCLIFF | MANOR, NY 10510                     |                                  |                     |                  |
|                            |                                     | ART UNIT 2875                    | PAPER NUMBER        |                  |
|                            |                                     |                                  |                     |                  |
|                            |                                     |                                  | MAIL DATE           | DELIVERY MODE    |
|                            |                                     |                                  | 08/30/2007          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  |  |   |  | TH        |
|--|--|---|--|-----------|
|  |  | Application No.   | Applicant(s)   |           |
|  |  | 10/511,214 HOLTEN ET AL.  |  |           |
|  | Office Action Summary  | Examiner  | Art Unit   |           |
|  | ·  | William J. Carter   | 2875   |           |
|  | The MAILING DATE of this communication app<br>or Reply   | ears on the cover shee  | t with the correspondence add  | ress      |
| A SH<br>WHIC<br>- Exte<br>after<br>- If NC<br>- Failu<br>Any | ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Depriod for reply is specified above, the maximum statutory period vire to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMU 36(a). In no event, however, ma will apply and will expire SIX (6) & cause the application to becom | NICATION. y a reply be timely filed MONTHS from the mailing date of this core ABANDONED (35 U.S.C. § 133). |           |
| tus  |  |   |  |           |
| 1)⊠<br>2a)⊠<br>3)□   |  | action is non-final.<br>nce except for formal m   |  | merits is |
| posit  | ion of Claims  | •   |  |           |
| 4)🖂  | Claim(s) 1-11 is/are pending in the application.   |   |  |           |
|  | 4a) Of the above claim(s) is/are withdraw  | wn from consideration.  |  |           |
| 5)   | Claim(s) is/are allowed.   |   |  | •         |
| 6)⊠  | Claim(s) <u>1-11</u> is/are rejected.  |   |  |           |
|  | Claim(s) is/are objected to.   |   |  |           |
| 8)[  | Claim(s) are subject to restriction and/o  | r election requirement.   |  |           |
| olicat   | ion Papers   |   |  |           |
| 9)[  | The specification is objected to by the Examine  | r.  |  |           |
| 10)🖾   | The drawing(s) filed on 13 October 2004 is/are   | : a)⊠ accepted or b)[   | objected to by the Examine   | r.        |
|  | Applicant may not request that any objection to the  | drawing(s) be held in abe   | yance. See 37 CFR 1.85(a).   |           |
|  | Replacement drawing sheet(s) including the correct   | •   |  |           |
| 11)  | The oath or declaration is objected to by the Ex   | caminer. Note the attac   | hed Office Action or form PT   | O-152.    |
| ority (  | under 35 U.S.C. § 119  |   |  |           |
| •  | Acknowledgment is made of a claim for foreign<br>☑ All b) ☐ Some * c) ☐ None of:   | priority under 35 U.S.0   | C. § 119(a)-(d) or (f).  |           |
|  | 1. Certified copies of the priority document   | s have been received.   |  |           |
|  | 2. Certified copies of the priority document   |   |  |           |
|  | 3. Copies of the certified copies of the prio application from the International Bureau  |   | een received in this National S  | Stage     |
| * (  | See the attached detailed Office action for a list   |   | not received.  |           |

| S. Patent and Trademark Office TOL-326 (Rev. 08-06) Off  | Fice Action Summary Part of Paper No./Mail Date 20070821   |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-94  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date   | 4) Interview Summary (PTO-413) Paper No(s)/Mail Date  5) Notice of Informal Patent Application 6) Other:   |  |  |  |  |  |
| Attachment/s)  |  |  |  |  |  |  |
|  | a not of the defined depice flet received.   |  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  |  |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |  |  |  |  |  |  |
| <ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>  |  |  |  |  |  |  |
| 12)⊠ Acknowledgment is made of a claim for fo<br>a)⊠ All b)☐ Some * c)☐ None of:   |  |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |  |  |  |  |  |  |
| Applicant may not request that any objection to Replacement drawing sheet(s) including the control of the contr | o the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  orrection is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  ne Examiner. Note the attached Office Action or form PTO-152. |  |  |  |  |  |
| 9) The specification is objected to by the Exa   | miner.<br>s/are: a)⊠ accepted or b)⊡ objected to by the Examiner.  |  |  |  |  |  |
| Application Papers   |  |  |  |  |  |  |
| 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement.  |  |  |  |  |  |  |
| 6) Claim(s) <u>1-11</u> is/are rejected.   | · · · · · · · · · · · · · · · · · · ·  |  |  |  |  |  |
| 5) Claim(s) is/are allowed.  | ·  |  |  |  |  |  |
| 4) Claim(s) <u>1-11</u> is/are pending in the application 4a) Of the above claim(s) is/are with  |  |  |  |  |  |  |
| Disposition of Claims  | ·  |  |  |  |  |  |
| 3) Since this application is in condition for all  | This action is non-final.  lowance except for formal matters, prosecution as to the merits is der <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on   |  |  |  |  |  |  |
| Status   |  |  |  |  |  |  |
| - Failure to reply within the set or extended period for reply will, by  |  |  |  |  |  |  |

**Period for Reply** 

Art Unit: 2875

### **DETAILED ACTION**

## Claim Objections

Claim 1 is objected to because of the following informalities:

In claim 1, lines 9-10, "the side" lacks antecedent basis.

Appropriate correction is required.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 3, and 8-10 are rejected under 35 U.S.C. 102(b) as being anticipated by Collins (4,755,916).

With respect to claim 1, Collins teaches a luminaire (Fig. 2) comprising: a main reflector (10) with a light emission window (38); a counter reflector (32) positioned opposite to the main reflector (Fig. 2); means (28 and 44) for accommodating at least one electric lamp (30 and 46) positioned in between the main reflector and the counter reflector (Fig. 2), characterized in that the counter reflector is provided with a light-transmitting cover (40) which is positioned at a side of the counter reflector facing away from the means for accommodating the at least one lamp (Fig. 2), and which cover has a light entry face (area where 38 and 40 meet) exposed to the light mission window

Art Unit: 2875

(Fig. 4), and which cover has a light exit surface (bottom surface of 40) at the side facing away from the means for accommodating the at least one lamp (Figs. 2 and 4).

As for claim 3, Collins teaches the cover (40) is an optical waveguide (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as an optical waveguide).

As for claim 8, Collins teaches the cover (40) is provided with a light-outcoupling element (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as diffusing lens).

As for claim 9, Collins teaches the light-outcoupling element (40) is chosen from the group consisting of a lens, a prism, a diffuser body, and combinations thereof (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as diffusing lens).

As for claim 10, Collins teaches the diffuser body (40) is provided at a side of the cover facing away from the counter reflector (Fig. 2), which diffuser body is partly recessed in the cover (Fig. 2).

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 2875

Claims1, 3-6, and 8-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins (4,755,916) in view of Azorin (6,536,909).

With respect to claim 1, Collins teaches a luminaire (Fig. 2) comprising: a main reflector (10) with a light emission window (38); a counter reflector (32) positioned opposite to the main reflector (Fig. 2); means (28 and 44) for accommodating at least one electric lamp (30 and 46) positioned in between the main reflector and the counter reflector (Fig. 2), characterized in that the counter reflector is provided with a lighttransmitting cover (40) which is positioned at a side of the counter reflector facing away from the means for accommodating the at least one lamp (Fig. 2), and which cover has a light entry face (area where 38 and 40 meet) exposed to the light mission window (Fig. 4), and which cover has a light exit surface (bottom surface of 40) at the side facing away from the means for accommodating the at least one lamp (Figs. 2 and 4). Collins does not explicitly teach the cover further includes opaque diffuser bodies that are partly recessed in the cover at the side of the cover facing away from the means for accommodating the at least one lamp. Azorin, also drawn to luminaries, teaches a cover (20) includes opaque diffuser bodies (42) in a cover at the side of the cover facing away from (Fig. 1) the means for accommodating at least one lamp (14) and diffuser bodies (41) that are partly recessed in the cover (Fig. 1). It would have been obvious to one of ordinary skill in the art, at the time of the invention, to use the diffusing bodies and the orientation of Azorin in the luminaire of Collins, in order to diffuse propagated light (column 2, lines 55-56).

Art Unit: 2875

As for claim 3, Collins further teaches the cover (40) is an optical waveguide (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as an optical waveguide).

As for claim 8, Collins further teaches the cover (40) is provided with a light-outcoupling element (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as diffusing lens).

As for claim 9, Collins further teaches the light-outcoupling element (40) is chosen from the group consisting of a lens, a prism, and combinations thereof (column 4, lines 11-12; being that the cover is made of glass, the internal reflection from the transmitted light will make the cover act as diffusing lens).

As for claim 10, Collins further teaches the outcoupling element (40) is provided at a side of the cover facing toward the counter reflector (Fig. 2).

With respect to claims 4-6, Collins and Azorin teach all of the claimed elements, as discussed above, except for explicitly teaching the cover is connected to the counter reflector via suspension means; the cover essentially only contacts the counter reflector with its suspension means; and the suspension means are formed by an outer edge of the counter reflector. Collins does teach a cover (38) is connected to a reflector (10) via suspension means (36); the cover essentially only contacts the counter reflector with its suspension means (Fig. 2); and the suspension means are formed by an outer edge of the counter reflector (Fig. 2). It would have been obvious to one of ordinary skill in the art, at the time of the invention, to use the suspension means taught with the main

Art Unit: 2875

reflector in the cover of the counter reflector, in order to hold the cover in place (column 4, lines 4-7).

Claims 2 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins and Azorin as applied to claims 1 and 4 above, and further in view of Ramer (6,334,700).

With respect to claims 2 and 7, Collins and Azorin teach all of the claimed elements, as discussed above, as well as Collins teachs the counter reflector (32) consists of a reflector provided on (Fig. 2) a side of the cover (40) facing towards the means (28 and 44) for accommodating the at least one electric lamp (30 and 46). Collins and Azorin do not explicitly teach the reflector consisting of a reflective coating. Ramer, also drawn to luminaires, teaches a reflector consisting of a reflective coating (column 6, lines 55-59). It would have been obvious to one of ordinary skill in the art, at the time of the invention, to use the coating of Ramer on the cover of Collins, in order to provide improved performace over prior light distributors (column 6, lines 62-63).

Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Collins and Azorin as applied to claim 1 above, and further in view of Staiger et al. (5,065,287).

With respect to claim 11, Collins and Azorin teach all of the claimed elements, as discussed above, except for explicitly teaching an edge of the cover is coated with a color filter. Staiger, also drawn to luminaires, teaches a cover coated with a color filter (column 5, lines 28-31). It would have been obvious to one of ordinary skill in the art, at the time of the invention, to use the color filter coating of Staiger on the cover of Collins, in order to meet a desired coloring output from the reflector (column 5, lines 28-31).

Art Unit: 2875

## Response to Arguments

Applicant's arguments with respect to claim 1 have been considered but are moot in view of the new ground(s) of rejection. Contrary to page 6 of the Amendment (page 2 of the Arguments), it is not the examiner's position that Collins teaches the <u>amended</u> elements of claim 1. The examiner has never taken the position that Collins teaches "opaque diffuser bodies that are partly recessed in the cover at the side of the cover facing away from the means for accommodating the at least one lamp." It is the examiners position that Azorin teaches the <u>amended</u> elements of claim 1.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 2875

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to William J. Carter whose telephone number is (571)272-0959. The examiner can normally be reached on Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra L. O'Shea can be reached on (571)272-2378. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Wjc 08/21/07 /Ali Alavi/ Primary Examiner